### Governance Information (Authority-Related)

<table>
<thead>
<tr>
<th>Question</th>
<th>Response</th>
<th>URL (if applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?</td>
<td>Yes</td>
<td>N/A</td>
</tr>
<tr>
<td>4. Does the independent auditor provide non-audit services to the Authority?</td>
<td>Yes</td>
<td>N/A</td>
</tr>
<tr>
<td>5. Does the Authority have an organization chart?</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>6. Are any Authority staff also employed by another government agency?</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>7. Has the Authority posted their mission statement to their website?</td>
<td>Yes</td>
<td><a href="http://www.niagarafallsusa.org/NFCDevCorp.cfm">http://www.niagarafallsusa.org/NFCDevCorp.cfm</a></td>
</tr>
<tr>
<td>8. Has the Authority’s mission statement been revised and adopted during the reporting period?</td>
<td>No</td>
<td>N/A</td>
</tr>
<tr>
<td>9. Attach the Authority’s measurement report, as required by section 2824-a of PAL and provide the URL.</td>
<td></td>
<td><a href="http://www.niagarafallsusa.org/NFCDevCorp.cfm">http://www.niagarafallsusa.org/NFCDevCorp.cfm</a></td>
</tr>
</tbody>
</table>
### Governance Information (Board-Related)

<table>
<thead>
<tr>
<th>Question</th>
<th>Response</th>
<th>URL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?</td>
<td>No</td>
<td>N/A</td>
</tr>
<tr>
<td>Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?</td>
<td>No</td>
<td>N/A</td>
</tr>
<tr>
<td>Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?</td>
<td>No</td>
<td>N/A</td>
</tr>
<tr>
<td>Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):</td>
<td>No such committees have been formed at this time.</td>
<td></td>
</tr>
<tr>
<td>Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?</td>
<td>Yes</td>
<td>N/A</td>
</tr>
<tr>
<td>Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year</td>
<td><a href="http://www.niagarafallsusa.org/NFCDevCorp.cfm">http://www.niagarafallsusa.org/NFCDevCorp.cfm</a></td>
<td></td>
</tr>
<tr>
<td>Has the Board adopted bylaws and made them available to Board members and staff?</td>
<td>Yes</td>
<td><a href="http://www.niagarafallsusa.org/NFCDevCorp.cfm">http://www.niagarafallsusa.org/NFCDevCorp.cfm</a></td>
</tr>
<tr>
<td>Has the Board adopted a code of ethics for Board members and staff?</td>
<td>Yes</td>
<td><a href="http://www.niagarafallsusa.org/NFCDevCorp.cfm">http://www.niagarafallsusa.org/NFCDevCorp.cfm</a></td>
</tr>
<tr>
<td>Does the Board review and monitor the Authority's implementation of financial and management controls?</td>
<td>Yes</td>
<td>N/A</td>
</tr>
<tr>
<td>Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?</td>
<td>Yes</td>
<td>N/A</td>
</tr>
<tr>
<td>Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?</td>
<td>No</td>
<td>N/A</td>
</tr>
<tr>
<td>Salary and Compensation</td>
<td>No</td>
<td>N/A</td>
</tr>
<tr>
<td>Time and Attendance</td>
<td>No</td>
<td>N/A</td>
</tr>
<tr>
<td>Whistleblower Protection</td>
<td>Yes</td>
<td>N/A</td>
</tr>
<tr>
<td>Defense and Indemnification of Board Members</td>
<td>No</td>
<td>N/A</td>
</tr>
<tr>
<td>Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?</td>
<td>Yes</td>
<td>N/A</td>
</tr>
<tr>
<td>Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?</td>
<td>Yes</td>
<td>N/A</td>
</tr>
<tr>
<td>Has a performance evaluation of the board completed?</td>
<td>No</td>
<td>N/A</td>
</tr>
<tr>
<td>Was compensation paid by the Authority made in accordance with employee or union contracts?</td>
<td>No</td>
<td>N/A</td>
</tr>
<tr>
<td>Has the board adopted a conditional/additional compensation policy governing all employees?</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Name</td>
<td>Muto, Lucy</td>
<td>O'Connor, Doreen</td>
</tr>
<tr>
<td>-----------------------</td>
<td>---------------------</td>
<td>---------------------------</td>
</tr>
<tr>
<td>Chair of Board</td>
<td>No</td>
<td>No</td>
</tr>
<tr>
<td>If yes, Chair designated By</td>
<td>03/02/2011</td>
<td>03/01/1996</td>
</tr>
<tr>
<td>Term Start Date</td>
<td>Ex-Officio</td>
<td>Pleasure of Authority</td>
</tr>
<tr>
<td>Term Expiration Date</td>
<td>Director</td>
<td></td>
</tr>
<tr>
<td>Has the Board member appointed a designee?</td>
<td>No</td>
<td>Has the Board member appointed a designee?</td>
</tr>
<tr>
<td>Designee Name</td>
<td>No</td>
<td>Ex-Officio Yes</td>
</tr>
<tr>
<td>Ex-officio</td>
<td>Local</td>
<td>Nominated By Ex-Officio</td>
</tr>
<tr>
<td>Appointed By</td>
<td>Local</td>
<td>Ex-Officio</td>
</tr>
<tr>
<td>Confirmed by Senate?</td>
<td>No</td>
<td>Confirmed by Senate? No</td>
</tr>
<tr>
<td>Has the Board member/designee signed the acknowledgement of fiduciary duty?</td>
<td>No</td>
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<td>Complied with training requirement of Section 2824?</td>
<td>No</td>
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<tr>
<td>Name</td>
<td>Oyster, Paul</td>
<td>Name</td>
</tr>
<tr>
<td>--------------</td>
<td>-------------</td>
<td>--------------</td>
</tr>
<tr>
<td>Chair of Board</td>
<td>Yes</td>
<td>Chair of Board</td>
</tr>
<tr>
<td>If yes, Chair designated By.</td>
<td>Elected by Board</td>
<td>If yes, Chair designated By.</td>
</tr>
<tr>
<td>Term Start Date</td>
<td>01/01/2008</td>
<td>Term Start Date</td>
</tr>
<tr>
<td>Term Expiration Date</td>
<td>Ex-Officio</td>
<td>Term Expiration Date</td>
</tr>
<tr>
<td>Title</td>
<td>Mayor</td>
<td>Title</td>
</tr>
<tr>
<td>Has the Board member appointed a designee?</td>
<td>No</td>
<td>Has the Board member appointed a designee?</td>
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<td>Designee Name</td>
<td>Yes</td>
<td>Designee Name</td>
</tr>
<tr>
<td>Ex-officio</td>
<td>Yes</td>
<td>Ex-officio</td>
</tr>
<tr>
<td>Nominated By</td>
<td>Ex-Officio</td>
<td>Nominated By</td>
</tr>
<tr>
<td>Appointed By</td>
<td>Ex-Officio</td>
<td>Appointed By</td>
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<tr>
<td>Name</td>
<td>Grandinetti, Kristen</td>
<td>Name</td>
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<tr>
<td>Chair of Board</td>
<td>No</td>
<td>Chair of Board</td>
</tr>
<tr>
<td>If yes, Chair designated By.</td>
<td></td>
<td>If yes, Chair designated By.</td>
</tr>
<tr>
<td>Term Start Date</td>
<td>01/01/2010</td>
<td>Term Start Date</td>
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<td>Term Expiration Date</td>
<td>Ex-Officio</td>
<td>Term Expiration Date</td>
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<tr>
<td>Title</td>
<td>City Council Member</td>
<td>Title</td>
</tr>
<tr>
<td>Has the Board member appointed a designee?</td>
<td>No</td>
<td>Has the Board member appointed a designee?</td>
</tr>
<tr>
<td>Designee Name</td>
<td></td>
<td>Designee Name</td>
</tr>
<tr>
<td>Ex-officio</td>
<td>Yes</td>
<td>Ex-officio</td>
</tr>
<tr>
<td>Nominated By</td>
<td>Ex-Officio</td>
<td>Nominated By</td>
</tr>
<tr>
<td>Appointed By</td>
<td>Ex-Officio</td>
<td>Appointed By</td>
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</tr>
<tr>
<td>Name</td>
<td>Chair of Board</td>
<td>Chair designated By</td>
</tr>
<tr>
<td>---------------------</td>
<td>----------------</td>
<td>---------------------</td>
</tr>
<tr>
<td>Paterson, Jeffrey</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Fruscione, Samuel</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Name</td>
<td>Choolokian, Glenn</td>
<td>Name</td>
</tr>
<tr>
<td>--------------------</td>
<td>---------------------------------------</td>
<td>--------------------</td>
</tr>
<tr>
<td>Chair of Board</td>
<td>No</td>
<td>Chair of Board</td>
</tr>
<tr>
<td>If yes, Chair designated By.</td>
<td></td>
<td>If yes, Chair designated By.</td>
</tr>
<tr>
<td>Term Start Date</td>
<td>01/01/2012</td>
<td>Term Start Date</td>
</tr>
<tr>
<td>Term Expiration Date</td>
<td>Ex-Officio</td>
<td>Term Expiration Date</td>
</tr>
<tr>
<td>Title</td>
<td>City Council member</td>
<td>Title</td>
</tr>
<tr>
<td>Has the Board member appointed a designee?</td>
<td>No</td>
<td>Has the Board member appointed a designee?</td>
</tr>
<tr>
<td>Designee Name</td>
<td></td>
<td>Designee Name</td>
</tr>
<tr>
<td>Ex-officio</td>
<td>Yes</td>
<td>Ex-officio</td>
</tr>
<tr>
<td>Nominated By</td>
<td>Ex-Officio</td>
<td>Nominated By</td>
</tr>
<tr>
<td>Appointed By</td>
<td>Ex-Officio</td>
<td>Appointed By</td>
</tr>
<tr>
<td>Confirmed by Senate?</td>
<td></td>
<td>Confirmed by Senate?</td>
</tr>
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<td>Yes</td>
<td>Does the Board member/designee also hold an elected or appointed municipal government position?</td>
</tr>
</tbody>
</table>
## Board of Directors Listing

<table>
<thead>
<tr>
<th>Name</th>
<th>Hooper, Michael</th>
<th>Name</th>
<th>Henwood, Jacqueline</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chair of Board</td>
<td>No</td>
<td>Chair of Board</td>
<td>No</td>
</tr>
<tr>
<td>If yes, Chair designated By.</td>
<td></td>
<td>If yes, Chair designated By.</td>
<td></td>
</tr>
<tr>
<td>Term Start Date</td>
<td>03/01/2005</td>
<td>Term Start Date</td>
<td>03/02/2011</td>
</tr>
<tr>
<td>Term Expiration Date</td>
<td>Ex-Officio</td>
<td>Term Expiration Date</td>
<td>Pleasure of Authority</td>
</tr>
<tr>
<td>Title</td>
<td>Director</td>
<td>Title</td>
<td></td>
</tr>
<tr>
<td>Has the Board member appointed a designee?</td>
<td>No</td>
<td>Has the Board member appointed a designee?</td>
<td>No</td>
</tr>
<tr>
<td>Designee Name</td>
<td></td>
<td>Designee Name</td>
<td></td>
</tr>
<tr>
<td>Ex-officio</td>
<td>Yes</td>
<td>Ex-officio</td>
<td>No</td>
</tr>
<tr>
<td>Nominated By</td>
<td>Ex-Officio</td>
<td>Nominated By</td>
<td>Local</td>
</tr>
<tr>
<td>Appointed By</td>
<td>Ex-Officio</td>
<td>Appointed By</td>
<td>Local</td>
</tr>
<tr>
<td>Confirmed by Senate?</td>
<td>No</td>
<td>Confirmed by Senate?</td>
<td>No</td>
</tr>
<tr>
<td>Has the Board member/designee signed the acknowledgement of fiduciary duty?</td>
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<td>No</td>
</tr>
</tbody>
</table>
## Board of Directors Listing

<table>
<thead>
<tr>
<th>Name</th>
<th>Sawicki, Anne</th>
<th>Name</th>
<th>Johnson, Jamie</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chair of Board</td>
<td>No</td>
<td>Chair of Board</td>
<td>No</td>
</tr>
<tr>
<td>If yes, Chair designated By.</td>
<td>03/02/2011</td>
<td>If yes, Chair designated By.</td>
<td>03/02/2011</td>
</tr>
<tr>
<td>Term Start Date</td>
<td>03/02/2011</td>
<td>Term Start Date</td>
<td>03/02/2011</td>
</tr>
<tr>
<td>Term Expiration Date</td>
<td>Pleasure of Authority</td>
<td>Term Expiration Date</td>
<td>Pleasure of Authority</td>
</tr>
<tr>
<td>Title</td>
<td></td>
<td>Title</td>
<td></td>
</tr>
<tr>
<td>Has the Board member appointed a designee?</td>
<td>No</td>
<td>Has the Board member appointed a designee?</td>
<td>No</td>
</tr>
<tr>
<td>Designee Name</td>
<td></td>
<td>Designee Name</td>
<td></td>
</tr>
<tr>
<td>Ex-officio</td>
<td>No</td>
<td>Ex-officio</td>
<td>No</td>
</tr>
<tr>
<td>Nominated By</td>
<td>Local</td>
<td>Nominated By</td>
<td>Local</td>
</tr>
<tr>
<td>Appointed By</td>
<td>Local</td>
<td>Appointed By</td>
<td>Local</td>
</tr>
<tr>
<td>Confirmed by Senate?</td>
<td>No</td>
<td>Confirmed by Senate?</td>
<td>No</td>
</tr>
<tr>
<td>Has the Board member/designee signed the acknowledgement of fiduciary duty?</td>
<td>No</td>
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<tr>
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<td>No</td>
<td>Does the Board member/designee also hold an elected or appointed municipal government position?</td>
<td>No</td>
</tr>
<tr>
<td>Name</td>
<td>Smith, Jacob</td>
<td>Name</td>
<td>Anderson, Jr., Robert</td>
</tr>
<tr>
<td>-----------------------</td>
<td>----------------------------</td>
<td>-----------------------</td>
<td>-----------------------</td>
</tr>
<tr>
<td>Chair of Board</td>
<td>No</td>
<td>Chair of Board</td>
<td>No</td>
</tr>
<tr>
<td>If yes, Chair designated By.</td>
<td>If yes, Chair designated By.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Term Start Date</td>
<td>03/02/2011</td>
<td>Term Start Date</td>
<td>01/01/2004</td>
</tr>
<tr>
<td>Term Expiration Date</td>
<td>Pleasure of Authority</td>
<td>Term Expiration Date</td>
<td>Ex-Officio</td>
</tr>
<tr>
<td>Title</td>
<td></td>
<td>Title</td>
<td>City Council Member</td>
</tr>
<tr>
<td>Has the Board member appointed a designee?</td>
<td>No</td>
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<td>Designee Name</td>
<td></td>
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</tr>
<tr>
<td>Does the Board member/designee also hold an elected or appointed municipal government position?</td>
<td>No</td>
<td>Does the Board member/designee also hold an elected or appointed municipal government position?</td>
<td>Yes</td>
</tr>
<tr>
<td>Name</td>
<td>Whittaker, Lee</td>
<td>Roma, Frank</td>
<td></td>
</tr>
<tr>
<td>---------------------</td>
<td>----------------</td>
<td>-------------</td>
<td></td>
</tr>
<tr>
<td>Chair of Board</td>
<td>No</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>If yes, Chair designated By.</td>
<td>No</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Term Start Date</td>
<td>03/02/2011</td>
<td>Term Start Date</td>
<td>03/02/2011</td>
</tr>
<tr>
<td>Term Expiration Date</td>
<td>Pleasure of Authority</td>
<td>Pleasure of Authority</td>
<td></td>
</tr>
<tr>
<td>Title</td>
<td>Pleasure of Authority</td>
<td>Pleasure of Authority</td>
<td></td>
</tr>
<tr>
<td>Has the Board member appointed a designee?</td>
<td>No</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Designee Name</td>
<td>No</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Ex-officio</td>
<td>No</td>
<td>No</td>
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</tr>
<tr>
<td>Nominated By</td>
<td>Local</td>
<td>Local</td>
<td></td>
</tr>
<tr>
<td>Appointed By</td>
<td>Local</td>
<td>Local</td>
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</tr>
<tr>
<td>Confirmed by Senate?</td>
<td>No</td>
<td>No</td>
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</tr>
<tr>
<td>Has the Board member/designee signed the acknowledgement of fiduciary duty?</td>
<td>No</td>
<td>No</td>
<td></td>
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<tr>
<td>Complied with training requirement of Section 2824?</td>
<td>No</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Does the Board member/designee also hold an elected or appointed State government position?</td>
<td>No</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Does the Board member/designee also hold an elected or appointed municipal government position?</td>
<td>No</td>
<td>No</td>
<td></td>
</tr>
<tr>
<td>Name</td>
<td>Title</td>
<td>Group</td>
<td>Department/Subsidiary</td>
</tr>
</tbody>
</table>

This authority has indicated that it has no staff during the reporting period.
**Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

<table>
<thead>
<tr>
<th>Name</th>
<th>Title</th>
<th>Severance Package</th>
<th>Payment for Unused Leave</th>
<th>Club Memberships</th>
<th>Use of Corporate Credit Cards</th>
<th>Personal Loans</th>
<th>Auto Transportation</th>
<th>Housing Allowance</th>
<th>Spousal / Dependent Life Insurance</th>
<th>Tuition Assistance</th>
<th>Multi-Year Employment</th>
<th>None of These Benefits</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>Anderson, Jr., Robert</td>
<td>Board of Directors</td>
<td>X</td>
<td></td>
<td></td>
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<tr>
<td>Dyster, Paul</td>
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<tr>
<td>Choolokian, Glenn</td>
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<td>Walker, Charles</td>
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<td>Fruscione, Samuel</td>
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<td>Paterson, Jeffrey</td>
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<td>Johnson, Jamie</td>
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<tr>
<td>Smith, Jacob</td>
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</tr>
<tr>
<td>Name</td>
<td>Title</td>
<td>Severance Package</td>
<td>Payment for Unused Leave</td>
<td>Club Memberships</td>
<td>Use of Corporate Credit Cards</td>
<td>Personal Loans</td>
<td>Auto Transportation</td>
<td>Housing Allowance</td>
<td>Spousal / Dependent Life Insurance</td>
<td>Tuition Assistance</td>
<td>Multi-Year Employment</td>
<td>None of These Benefits</td>
<td>Other</td>
</tr>
<tr>
<td>------</td>
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</tr>
<tr>
<td>Whittaker, Lee</td>
<td>Board of Directors</td>
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<td></td>
<td></td>
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<td>X</td>
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</tbody>
</table>

**Staff**

<table>
<thead>
<tr>
<th>Name</th>
<th>Title</th>
<th>Severance Package</th>
<th>Payment for Unused Leave</th>
<th>Club Memberships</th>
<th>Use of Corporate Credit Cards</th>
<th>Personal Loans</th>
<th>Auto Transportation</th>
<th>Housing Allowance</th>
<th>Spousal / Dependent Life Insurance</th>
<th>Tuition Assistance</th>
<th>Multi-Year Employment</th>
<th>None of These Benefits</th>
<th>Other</th>
</tr>
</thead>
</table>

No Data has been entered by the Authority for this section in PARIS
## Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority? No

<table>
<thead>
<tr>
<th>Name of Subsidiary/Component Unit</th>
<th>Status</th>
<th>Requested Changes</th>
</tr>
</thead>
</table>

## Subsidiary/Component Unit Creation

<table>
<thead>
<tr>
<th>Name of Subsidiary/Component Unit</th>
<th>Establishment Date</th>
<th>Entity Purpose</th>
</tr>
</thead>
</table>

## Subsidiary/Component Unit Termination

<table>
<thead>
<tr>
<th>Name of Subsidiary/Component Unit</th>
<th>Termination Date</th>
<th>Termination Reason</th>
<th>Proof of Termination</th>
</tr>
</thead>
</table>

No Data has been entered by the Authority for this section in PARIS.
### Assets

#### Current Assets
- Cash and cash equivalents: $2,009,832
- Investments: $0
- Receivables, net: $33,080
- Other assets: $0
- **Total Current Assets**: $2,042,912

#### Noncurrent Assets
- Restricted cash and investments: $0
- Long-term receivables, net: $230,653
- Other assets: $0
- **Total Noncurrent Assets**: $230,653

#### Capital Assets
- Land and other nondepreciable property: $0
- Buildings and equipment: $65,830
- Infrastructure: $0
- Accumulated depreciation: $65,588
- Net Capital Assets: $242
- **Total Capital Assets**: $242

**Total Assets**: $2,273,807
### Summary Financial Information

#### SUMMARY STATEMENT OF NET ASSETS

<table>
<thead>
<tr>
<th>Liabilities</th>
<th>Current Liabilities</th>
<th>Noncurrent Liabilities</th>
<th>Total Liabilities</th>
<th>Net Asset (Deficit)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Accounts payable</td>
<td>Pension contribution payable</td>
<td></td>
<td>$6,319</td>
</tr>
<tr>
<td></td>
<td>$0</td>
<td>$0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other post-employment benefits</td>
<td>$0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Accrued liabilities</td>
<td>$0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Deferred revenues</td>
<td>$0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Bonds and notes payable</td>
<td>$0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other long-term obligations due within one year</td>
<td>$0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Total Current Liabilities</strong></td>
<td><strong>$6,319</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Pension contribution payable</td>
<td>$0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other post-employment benefits</td>
<td>$0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Bonds and notes payable</td>
<td>$0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Long Term Leases</td>
<td>$0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other long-term obligations</td>
<td>$0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Total Noncurrent Liabilities</strong></td>
<td><strong>$0</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>Total Liabilities</strong></td>
<td><strong>$6,319</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Invested in capital assets, net of related debt</td>
<td><strong>$242</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Restricted</td>
<td>$0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Unrestricted</td>
<td>$2,267,246</td>
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<tr>
<td></td>
<td><strong>Total Net Assets</strong></td>
<td><strong>$2,267,488</strong></td>
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<td></td>
</tr>
</tbody>
</table>
### Summary Financial Information

#### SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETS

**Operating Revenues**
- Charges for services: $4,775
- Rental & financing income: $0
- Other operating revenues: $7,774
- **Total Operating Revenue**: $12,549

**Operating Expenses**
- Salaries and wages: $11,748
- Other employee benefits: $0
- Professional services contracts: $71,311
- Supplies and materials: $568
- Depreciation & amortization: $488
- Other operating expenses: $2,446
- **Total Operating Expenses**: $86,561

**Operating Income (Loss)**
- $(74,012)

**Nonoperating Revenues**
- Investment earnings: $4,679
- State subsidies/grants: $27,624
- Federal subsidies/grants: $0
- Municipal subsidies/grants: $995,581
- Public authority subsidies: $0
- Other nonoperating revenues: $35
- **Total Nonoperating Revenue**: $1,027,919
## Summary Financial Information

### SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETS

<table>
<thead>
<tr>
<th>Category</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Income (Loss) Before Contributions</td>
<td>$0</td>
</tr>
<tr>
<td>Capital Contributions</td>
<td>$0</td>
</tr>
<tr>
<td>Change in net assets</td>
<td>$278,307</td>
</tr>
<tr>
<td>Net assets (deficit) beginning of year</td>
<td>$1,989,181</td>
</tr>
<tr>
<td>Other net assets changes</td>
<td>$0</td>
</tr>
<tr>
<td>Net assets (deficit) at end of year</td>
<td>$2,267,488</td>
</tr>
</tbody>
</table>

### Nonoperating Expenses

- Interest and other financing charges: $0
- Subsidies to other public authorities: $0
- Grants and donations: $648,001
- Other nonoperating expenses: $27,599

**Total Nonoperating Expenses:** $675,600

**Income (Loss) Before Contributions:** $278,307
### Current Debt

<table>
<thead>
<tr>
<th>Question</th>
<th>Response</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?</td>
<td>No</td>
</tr>
<tr>
<td>2. If yes, has the Authority issued any debt during the reporting period?</td>
<td></td>
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</tbody>
</table>

### New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS
### Schedule of Authority Debt

<table>
<thead>
<tr>
<th>Type of Debt</th>
<th>Statutory Authorization ($)</th>
<th>Outstanding Start of Fiscal Year ($)</th>
<th>New Debt Issuances ($)</th>
<th>Debt Retired ($)</th>
<th>Outstanding End of Fiscal Year ($)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>State Obligation</strong></td>
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<tr>
<td>State Guaranteed</td>
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<tr>
<td>State Supported</td>
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<tr>
<td>State Contingent Obligation</td>
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<td>State Moral Obligation</td>
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<tr>
<td>Other State Funded</td>
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<tr>
<td><strong>Authority Obligation</strong></td>
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<tr>
<td>General Obligation</td>
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<tr>
<td>Revenue</td>
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<tr>
<td>Other Non-State Funded</td>
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<tr>
<td><strong>Conduit</strong></td>
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<tr>
<td>Conduit Debt</td>
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<tr>
<td>Conduit Debt - Pilot Increment Financing</td>
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</tbody>
</table>
Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.
Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.
### Property Documents

<table>
<thead>
<tr>
<th>Question</th>
<th>Response</th>
<th>URL (if applicable)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?</td>
<td>Yes</td>
<td><a href="http://www.egovlink.com/public_documents300/niagarafalls/published_documents/PDFs/NFC_Disposition_Po">http://www.egovlink.com/public_documents300/niagarafalls/published_documents/PDFs/NFC_Disposition_Po</a></td>
</tr>
<tr>
<td>3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority’s compliance with and enforcement of such guidelines?</td>
<td>Yes</td>
<td></td>
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</tbody>
</table>
## Grant Information

<table>
<thead>
<tr>
<th>No.</th>
<th>Source of Grant Funds: Municipal</th>
<th>Name of Grant Recipient: ERDCO</th>
<th>Source of Grant Funds: Municipal</th>
<th>Name of Grant Recipient: Frank's Vacuum Truck Service</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>ERDCO</td>
<td>451 3rd Street</td>
<td>ERDCO</td>
<td>4500 Royal Avenue</td>
</tr>
<tr>
<td></td>
<td>Address Line1: 451 3rd Street</td>
<td>Address Line: 451 3rd Street</td>
<td>Address Line1: 4500 Royal Avenue</td>
<td>Address Line1: 4500 Royal Avenue</td>
</tr>
<tr>
<td></td>
<td>City: NIAGARA FALLS</td>
<td>City: NIAGARA FALLS</td>
<td>City: NIAGARA FALLS</td>
<td>City: NIAGARA FALLS</td>
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<tr>
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<tr>
<td></td>
<td>Amount of Grant Award Provided</td>
<td>Amount of Grant Award Provided</td>
<td>Amount of Grant Award Provided</td>
<td>Amount of Grant Award Provided</td>
</tr>
<tr>
<td></td>
<td>During Reporting Year: $136,291</td>
<td>During Reporting Year: $1,500</td>
<td>During Reporting Year: $1,500</td>
<td>During Reporting Year: $1,500</td>
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<tr>
<td></td>
<td>Date Grant Awarded: 07/28/2010</td>
<td>Date Grant Awarded: 12/15/2011</td>
<td>Date Grant Awarded: 12/15/2011</td>
<td>Date Grant Awarded: 12/15/2011</td>
</tr>
<tr>
<td></td>
<td>Purpose of Grant: Business Expansion/Startup</td>
<td>Purpose of Grant: Business Expansion/Startup</td>
<td>Purpose of Grant: Business Expansion/Startup</td>
<td>Purpose of Grant: Business Expansion/Startup</td>
</tr>
</tbody>
</table>

Was the grant expected to result in new jobs being created? Yes
If yes, how many jobs were planned to be created? 8
If yes, how many jobs have been created to date? 8

Was the grant expected to result in new jobs being created? Yes
If yes, how many jobs were planned to be created? 12
If yes, how many jobs have been created to date? 12
Grant Information

3. Source of Grant Funds: Municipal
   Name of Grant Recipient: LaSalle Hospitality, LLC
   Address Line1: 7001 Buffalo Avenue
   Address Line2:
   City: NIAGARA FALLS
   State: NY
   Zip - Plus4: 14304 4001
   Province/Region: USA
   Amount of Grant Award Provided During Reporting Year: $427,226
   Date Grant Awarded: 09/28/2010
   Purpose of Grant: Business Expansion/Startup

   Was the grant expected to result in new jobs being created? Yes
   If yes, how many jobs were planned to be created? 45
   If yes, how many jobs have been created to date? 45

4. Source of Grant Funds: Municipal
   Name of Grant Recipient: Little Italy Productions
   Address Line1: 1201 Pine Avenue
   Address Line2:
   City: NIAGARA FALLS
   State: NY
   Zip - Plus4: 14301
   Province/Region: USA
   Amount of Grant Award Provided During Reporting Year: $6,220
   Date Grant Awarded: 09/08/2011
   Purpose of Grant: Marketing and Business Recruitment

   Was the grant expected to result in new jobs being created? Yes
   If yes, how many jobs were planned to be created? 1
   If yes, how many jobs have been created to date? 1
### Grant Information

5. **Source of Grant Funds: State**
   - Name of Grant Recipient: Living Wellness of Niagara
   - Address Line1: 1517 Main Street
   - City: NIAGARA FALLS
   - State: NY
   - Zip - Plus4: 14305
   - Province/Region: USA
   - **Amount of Grant Award Provided During Reporting Year:** $27,624
   - **Date Grant Awarded:** 12/08/2009
   - **Purpose of Grant:** Business Expansion/Startup

   **Was the grant expected to result** in new jobs being created? Yes
   **If yes, how many jobs were planned to be created?** 1
   **If yes, how many jobs have been created to date?** 1

6. **Source of Grant Funds: Municipal**
   - Name of Grant Recipient: Massage Center of Niagara
   - Address Line1: 920 Main Street
   - Address Line2: 
   - City: NIAGARA FALLS
   - State: NY
   - Zip - Plus4: 14301
   - Province/Region: USA
   - **Amount of Grant Award Provided During Reporting Year:** $9,140
   - **Date Grant Awarded:** 08/11/2011
   - **Purpose of Grant:** Business Expansion/Startup

   **Was the grant expected to result** in new jobs being created? Yes
   **If yes, how many jobs were planned to be created?** 2
   **If yes, how many jobs have been created to date?** 2
Grant Information

7. Source of Grant Funds: Municipal
Name of Grant Recipient: PEMCO
Address Line1: 150 Portage Road
City: NIAGARA FALLS
State: NY
Zip - Plus4: 14303
Province/Region: USA
Amount of Grant Award Provided During Reporting Year: $30,000
Date Grant Awarded: 06/24/2011
Purpose of Grant: Business Expansion/Startup

Was the grant expected to result in new jobs being created? Yes
If yes, how many jobs were planned to be created? 8
If yes, how many jobs have been created to date? 8

8. Source of Grant Funds: Municipal
Name of Grant Recipient: Zanes by the Falls
Address Line1: 1701 Pine Ave
City: NIAGARA FALLS
State: NY
Zip - Plus4: 14301
Province/Region: USA
Amount of Grant Award Provided During Reporting Year: $10,000
Date Grant Awarded: 09/12/2011
Purpose of Grant: Business Expansion/Startup

Was the grant expected to result in new jobs being created? Yes
If yes, how many jobs were planned to be created? 3
If yes, how many jobs have been created to date? 3
Loan Information

1. Source of Loan Funds: Municipal
   Name of Loan Recipient: Cafe Lola
   Address Line1: 507 3rd Street
   Address Line2: City: NIAGARA FALLS
   State: NY
   Zip - Plus4: 14301
   Province/Region: Country: USA
   Original Amount of Loan: $55,000
   Date Loan Awarded: 01/01/2009
   Length of Loan(# of years to repay): 10
   Amount of Loan Principal Repaid to Date: $7,237.00
   Purpose of Loan: Business Expansion/Startup

   Was the Loan expected to result in new jobs being created? Yes
   If yes, how many jobs were planned to be created? 6
   If yes, how many jobs have been created to date? 6
   Have the terms of the loan been completed? No

2. Source of Loan Funds: Municipal
   Name of Loan Recipient: Como Restaurant
   Address Line1: 2220 Pine Ave
   Address Line2: City: NIAGARA FALLS
   State: NY
   Zip - Plus4: 14301
   Province/Region: Country: USA
   Original Amount of Loan: $100,000
   Date Loan Awarded: 12/01/2008
   Length of Loan(# of years to repay): 15
   Amount of Loan Principal Repaid to Date: $14,659.00
   Purpose of Loan: Business Expansion/Startup

   Was the Loan expected to result in new jobs being created? Yes
   If yes, how many jobs were planned to be created? 2
   If yes, how many jobs have been created to date? 2
   Have the terms of the loan been completed? No
### Loan Information

<table>
<thead>
<tr>
<th>Loan Fund Source</th>
<th>Name of Loan Recipient</th>
<th>Address Line1</th>
<th>Address Line2</th>
<th>City</th>
<th>State</th>
<th>Zip - Plus4</th>
<th>Province/Region</th>
<th>Country</th>
<th>Original Amount of Loan</th>
<th>Date Loan Awarded</th>
<th>Interest Rate(%)</th>
<th>Length of Loan(# of years to repay)</th>
<th>Amount of Loan Principal Repaid to Date</th>
<th>Purpose of Loan: Business Expansion/Startup</th>
</tr>
</thead>
<tbody>
<tr>
<td>Municipal</td>
<td>Frank's Vacuum Truck Services, Inc.</td>
<td>4500 Royal Avenue</td>
<td>City: NIAGARA FALLS</td>
<td>State: NY</td>
<td>Zip - Plus4: 14303</td>
<td>Province/Region:</td>
<td>Country: USA</td>
<td>$7,340</td>
<td>12/01/2011</td>
<td>5</td>
<td>10</td>
<td>$0.00</td>
<td>$0.00</td>
<td>Business Expansion/Startup</td>
</tr>
<tr>
<td>Municipal</td>
<td>Kandola Group, Inc.</td>
<td>436 8th Street</td>
<td>City: NIAGARA FALLS</td>
<td>State: NY</td>
<td>Zip - Plus4: 14301</td>
<td>Province/Region:</td>
<td>Country: USA</td>
<td>$35,000</td>
<td>05/01/2011</td>
<td>5</td>
<td>5</td>
<td>$3,122.00</td>
<td>$3,122.00</td>
<td>Business Expansion/Startup</td>
</tr>
</tbody>
</table>

- **Was the Loan expected to result in new jobs being created?** Yes
  - If yes, how many jobs were planned to be created? 12
  - If yes, how many jobs have been created to date? 12
  - Have the terms of the loan been completed? No

- **Was the Loan expected to result in new jobs being created?** Yes
  - If yes, how many jobs were planned to be created? 2
  - If yes, how many jobs have been created to date? 2
  - Have the terms of the loan been completed? No
Loan Information

5. Source of Loan Funds: Municipal
   Name of Loan Recipient: PEMCO, Inc.
   Address Line1: 150 Portage Road
   Address Line2:  
   City: NIAGARA FALLS
   State: NY
   Zip - Plus4: 14303
   Province/Region:  
   Country: USA
   Original Amount of Loan: $30,000
   Date Loan Awarded: 06/01/2011
   Interest Rate(%): 5
   Length of Loan(# of years to repay): 6
   Amount of Loan Principal Repaid to Date: $1,442.00
   Purpose of Loan: Business Expansion/Startup

   Was the Loan expected to result in new jobs being created? Yes
   If yes, how many jobs were planned to be created? 8
   If yes, how many jobs have been created to date? 8
   Have the terms of the loan been completed? No

6. Source of Loan Funds: Municipal
   Name of Loan Recipient: T-Krowe, Inc.
   Address Line1: 8735 Niagara Falls Boulevard
   Address Line2:  
   City: NIAGARA FALLS
   State: NY
   Zip - Plus4: 14304 1947
   Province/Region:  
   Country: USA
   Original Amount of Loan: $30,000
   Date Loan Awarded: 12/01/2009
   Interest Rate(%): 5
   Length of Loan(# of years to repay): 6
   Amount of Loan Principal Repaid to Date: $1,803.00
   Purpose of Loan: Business Expansion/Startup

   Was the Loan expected to result in new jobs being created? Yes
   If yes, how many jobs were planned to be created? 2
   If yes, how many jobs have been created to date? 2
   Have the terms of the loan been completed? No
**Loan Information**

- Source of Loan Funds: Municipal
- Name of Loan Recipient: Wine on 3rd, LLC
- Address Line1: 501 3rd Street
- City: NIAGARA FALLS
- State: NY
- Zip - Plus4: 14301
- Province/Region: USA
- Original Amount of Loan: $45,000
- Date Loan Awarded: 12/01/2009
- Interest Rate(%): 5
- Length of Loan(# of years to repay): 5
- Amount of Loan Principal Repaid to Date: $10,344.00
- Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result in new jobs being created? Yes

If yes, how many jobs were planned to be created? 2

If yes, how many jobs have been created to date? 2

Have the terms of the loan been completed? No
Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.
Additional Comments: